



PATENT APPLICATION

Atty. Docket No. KOCHERLAKOTA 4  
(PREVIOUSLY Docket No. 66125)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sitarama S. KOCHERLAKOTA )  
Appln. No: 09/440,356 )  
Filed: November 15, 1999 )  
Title: METHOD AND APPARATUS )  
FOR REMOTE AUDIOVISUAL )  
SIGNAL RECORDING )  
Group Art )  
Unit: 2712 )  
Examiner: N/A )

CERTIFICATE OF MAILING

I hereby certify that this paper is  
being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Commissioner of  
Patents and Trademarks, Washington, D.C.  
20231, on this date.

2/22/2000 *Kenneth H. Hargis*  
Date / Registration No. 25647  
Attorney for Applicant

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER  
AND DEPOSIT ACCOUNT NUMBER

Box MISSING PARTS  
Hon. Commissioner of Patents  
and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

On November 15, 1999, applicants filed the above-captioned application showing an attorney docket number of 66125, stating that Deposit Account 06-1135 should be used for future charges, and including a General Authorization for Petition for Extension of Time identifying Deposit Account 06-1135.

The undersigned has been advised by the assignee that they wish to have their own docket number and deposit account used

Application No. 09/440,356  
Filed November 15, 1999

Atty. Dkt. No. FINGERMAN 1-1-1

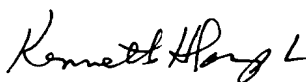
throughout the prosecution of this application. Therefore, it is respectfully requested that the Patent Office change its records for the above-captioned application to 1) make the Attorney Docket Number KOCHERLAKOTA 4 and 2) charge any fees to Deposit Account No. 12-2325.

The above corrections are included on the enclosed Response to Missing Parts, bearing in the upper right-hand corner Attorney Docket No. KOCHERLAKOTA 4, and instructing that the previously deferred charges for the filing/surcharge fees, and the assignment recording fee are to be charged to Deposit Account No. 12-2325.

Also enclosed is a replacement General Authorization for Petition For Extension of Time Under 37 C.F.R. §1.136(a)(3), which identifies the assignee's deposit account 12-2325. Please substitute the replacement General Authorization For Extension of Time Under 37 C.F.R. §1.136(a)(3) for the one filed November 15, 1999.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By:   
Kenneth H. Samples  
Registration No. 25,747

Date: February 22, 2000

120 South LaSalle Street  
Suite 1600  
Chicago, IL 60603-3406  
Telephone: (312) 577-7000  
Facsimile: (312) 577-7007

doc. 217503

PATENT

Attorney Docket Name/No.: **Kocherlakota 4**

Date: February 22, 2000 (66125)

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner  
for Patents  
Washington, D.C. 20231



Applicant(s): Sitarama S. Kocherlakota

CERTIFICATE OF MAILING

Application No.: 09/440,356

Filed: November 15, 1999

Title: METHOD AND APPARATUS FOR  
REMOTE AUDIOVISUAL SIGNAL

Group Art Unit: 2712

Examiner: N/A

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

2/22/00  
Date

Kenneth H. Samples  
Registration No. 25,747  
Attorney for Applicant(s)

(REPLACES GENERAL AUTHORIZATION FILED 11/15/99)

**GENERAL AUTHORIZATION FOR PETITION FOR  
EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

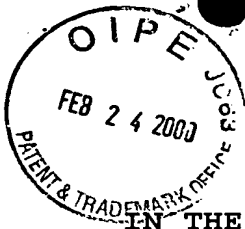
Applicant(s) hereby request under 37 C.F.R. §1.136(a)(3) by this general authorization that any concurrent or future reply submitted by Applicant(s) to the U.S. Patent and Trademark Office for the above-identified patent application requiring a petition for an extension of time under §1.136(a) for its timely submission be treated as incorporating therein a petition for an extension of time for the appropriate length of time.

If Applicant(s) do not timely pay for any extension fee(s) pursuant to 37 C.F.R. §1.136(a) which may become due for this application under 37 C.F.R. §1.17 by check, the Commissioner is hereby authorized to charge such fee(s), and any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 12-2325.

February 22, 2000  
(Date)

Kenneth H. Samples  
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PATENT APPLICATION

Attorney Docket No. KOCHERLAKOTA 4  
Date: February 22, 2000

(66125

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sitarama S. KOCHERLAKOTA  
Appln No.: 09/440,356  
Filed: November 15, 1999  
For: METHOD AND APPARATUS FOR  
REMOTE AUDIOVISUAL SIGNAL  
RECORDING

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

2/22/00  
Date  
Registration No. 25,747  
Attorney for Applicant(s)

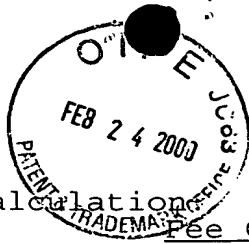
RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS  
Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated December 23, 2000, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (X) An assignment of the invention to Lucent Technologies Inc. accompanied by a Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- (X) Please deduct the assignment recording fee totalling \$40.00 from Deposit Account No. 12-2325.
- (X) Other:
  - 1. Request for Change of Attorney Docket Number and Deposit Account Number.
  - 2. Replacement General Authorization for Petition for Extension of Time Under 37 C.F.R. §1.136(a)(3).



## (X) Fee Calculation For Claims As Filed

( ) Provisional Application Basic Fee \$ 150.00 \$ \_\_\_\_\_  
 • Surcharge under 37 C.F.R. §1.16(1) \$ 50.00 \$ \_\_\_\_\_

or

(X) Non-Provisional Utility Application \$760.00 \$ 760.00  
 Basic Fee

- Independent 5 - 3 = 2 x \$ 78.00 = \$ 156.00  
     Claims
- Total Claims 34 - 20 = 14 x \$ 18.00 = \$ 252.00
- Fee for Multiply Dependent Claims x \$260.00 = \$ \_\_\_\_\_
- Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00

or

( ) Design Application Basic Fee \$310.00 \$ \_\_\_\_\_  
 • Surcharge under 37 C.F.R. §1.16(e) \$130.00 \$ \_\_\_\_\_

Total Filing and/or Surcharge Fee \$ 1298.00

(X) Charge the filing and/or surcharge fee of \$ 1298.00 to Deposit Account No. 12-2325.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 12-2325. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 12-2325. This sheet is filed in triplicate.

February 22, 2000  
 (Date)

Kenneth H. Samples  
 Kenneth H. Samples  
 Registration No. 25,747

FITCH, EVEN, TABIN & FLANNERY  
 Suite 1600  
 120 South LaSalle Street  
 Chicago, Illinois 60603-3406  
 Telephone: (312) 577-7000  
 Facsimile: (312) 577-7007



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/440,356 11/15/99 KOCHERLAKOTA

S 66125

022242

FITCH EVEN TABIN AND FLANNERY

120 SOUTH LA SALLE STREET

SUITE 1600

CHICAGO IL 60603-3406

NOT ASSIGNED

2712

DATE MAILED:

12/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 1298.00

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 100.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 252.00 or 124 total claims over 20.

\$ 152.00 for 2 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63, listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Custom Service Center

Initial Patent Examination Division (703) 308-1202

02/28/2000 MBERHE

00000059 122325

09440356

01 FC:101

760.00 CH

02 FC:105

130.00 CH

03 FC:103

252.00 CH

04 FC:102

156.00 CH

U.S. GPO: 1995-446-524

**PART 2: COPY TO BE RETURNED WITH RESPONSE**

BEST AVAILABLE COPY